

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Belanger et al.

U.S. Serial No. NYA

Filed: February 6, 2004

Examiner: NYA

Group Art Unit: NYA

For: "11,12-Oxidoarachidonic Acid Derivatives and  
Methods of Their Use in Treating Dry  
Eye Disorders"

CERTIFICATE OF MAILING  
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I hereby certify that this correspondence  
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Application, Commissioner for Patents,  
P. O. Box 1450 Alexandria, VA. 22313-  
1450 on this date:

February 6, 2004  
Date  
Jeannie Burke  
Jeannie Burke

INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. 1.56

MS Patent Application  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. 1.56. Applicants submit  
the following patents, articles and other information listed on the attached PTO Form  
1449.

Because the PTO has waived the requirement under 37 CFR 1.98(a)(2) to  
submit copies of each cited U.S. patent and patent application publication in all U.S.  
national patent applications filed after June 30, 2003, none are enclosed. Only  
copies of cited foreign patent documents and non-patent literature are enclosed.

Applicants request that the listed references be considered during  
prosecution of this application, and that the references appear among the  
"References Cited" on any patent issuing herefrom.

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Respectfully submitted,

ALCON

Feb. 6, 2004  
Date

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Registration No. 36,263

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Attorney Docket No.: 2140A

Form PTO-1449 U.S. Dept. of Commerce Patent & Trademark Office		ATTY. DOCKET NO. 2140A		SERIAL NO. NYA			
LIST OF DOCUMENTS CITED BY APPLICANT		APPLICANT Belanger et al.					
		(Use several sheets if necessary)		FILING DATE February 6, 2004	GROUP NYA		
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3,991,759	11/16/76	Urquhart	128	260	
	AB	4,131,651	12/26/78	Shah et al.	424	78	
	AC	4,370,325	01/25/83	Packman	424	245	
	AD	4,409,205	10/11/83	Shively	424	78	
	AE	4,744,980	05/17/88	Holly	424	78	
	AF	4,804,539	02/14/89	Guo et al.	424	450	
	AG	4,818,537	04/04/89	Guo	424	427	
	AH	4,883,658	11/28/89	Holly	424	80	
	AI	4,914,088	04/03/90	Glonek et al.	514	76	
	AJ	4,966,773	10/30/90	Gressel et al.	424	489	
	AK	5,041,434	08/20/91	Lubkin	514	182	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES      NO
	AL	WO 00/18706	04/06/00	PCT			
	AM						
	AN						
	AO						
	AP						
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AR	Gao et al., "Total Synthesis of (-)-Dactylynes," <i>Heterocycles</i> , Vol. 42(2), pp. 745-774 (1996)					
	AS	Han et al., - "A Short Catalytic Enantioselective Synthesis of the Vascular Antiinflammatory Eicosanoid (11R,12S)-Oxidoarachidonic Acid," <i>Organic Letters</i> , Vol. 2(22), pp. 3437-3438 (2000)					
	AT	Katritzky et al., "Stereoselective Syntheses of $\beta,\gamma$ -Unsaturated Esters and $\gamma$ -Lactones: 1-(Benzotriazol-1-yl)-3-(diphenylphosphoryl)-1-ethoxy-1-propene, a Protected $=\text{CCH}_2\text{CO}_2\text{Et}$ Synthon Equivalent," <i>J. Org. Chem.</i> , Vol. 62, pp. 4131-4136 (1997)					

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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	BA	5,075,104	12/24/91	Gressel et al.	424	78.04	
	BB	5,174,988	12/29/92	Mautone et al.	424	45	
	BC	5,278,151	01/11/94	Korb et al.	514	76	
	BD	5,290,572	03/01/94	MacKeen	424	602	
	BE	5,294,607	03/15/94	Glonek et al.	514	76	
	BF	5,371,108	12/06/94	Korb et al.	514	762	
	BG	5,403,841	04/04/95	Lang et al.	514	226.8	
	BH	5,578,586	11/26/96	Glonek et al.	514	76	
	BI	5,696,166	12/09/97	Yanni et al.	514	573	
	BJ	5,800,807	09/01/98	Hu et al.	424	78.04	
	BK	6,153,607	11/28/00	Pflugfelder et al.	514	178	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES      NO
	BL						
	BM						
	BN						
	BO						
	BP						
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	BR	Lemp et al., "Report of the National Eye Institute/Industry Workshop on Clinical Trials in Dry Eyes," <i>The CLAO Journal</i> , Vol. 21(4), pp. 221-231 (1995)					
	BS	Marsh et al., "Topical Nonpreserved Methylprednisolone Therapy for Keratoconjunctivitis Sicca in Sjögren Syndrome," <i>Ophthalmology</i> , Vol. 106(4), pp. 811-816 (1999)					
	BT	McCulley et al., "Tear Film Structure and Dry Eye," <i>Contactologia</i> , Vol. 20, pp. 145-149 (1998)					

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	CH						
	CI						
	CJ						
	CK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES      NO
	CF						
	CM						
	CN						
	CO						
	CP						
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	CR	Ohno, M.; Otsuka, M. <i>Organic Reactions</i> , Vol. 37, Chapter 1 (1989)					
	CS	Sharpless et al., "The Osmium-Catalyzed Asymmetric Dihydroxylation: A New Ligand Class and a Process Improvement," <i>J. Org. Chem.</i> , Vol. 57, pp. 2768-2771 (1992)					
	CT	Shine et al., "Keratoconjunctivitis Sicca Associated with Meibomian Secretion Polar Lipid Abnormality, <i>Arch. Ophthalmology</i> , Vol. 116, pp. 849-852 (1998)					

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	DH						
	DI						
	DJ						
	DK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES      NO
	DL						
	DM						
	DN						
	DO						
	DB						
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	DR	Zamboni et al., "The Stereospecific Synthesis of 14S, 15S-Oxido 5Z, 8Z, 10E, 12E-Eicosatetraenoic Acid," <i>Tetrahedron Letters</i> , Vol. 24(45), pp. 4899-4902 (1983)					
	DS						
	DT						

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